



PATENT  
Customer No. 22,852  
Attorney Docket No. 7744.0061

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

Michael A. EKHAUS et al. )

Application No.: 09/887,528 )

Group Art Unit: 2163

Filed: June 25, 2001 )

Examiner: Unknown

For: METHOD AND SYSTEM FOR  
HIGH PERFORMANCE MODEL-  
BASED PERSONALIZATION )

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JAN 14 2002

Commissioner for Patents and Trademarks  
Washington, DC 20231

Technology Center 2100

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

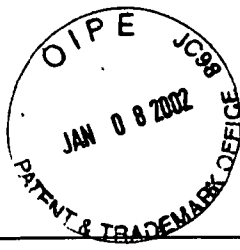
Dated: January 8, 2002

By: 

James J. Boyle  
Reg. No. 46,570

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09887528 - GAU: 3623

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<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
/J.S./		5,893,909	4/13/1999	Nomura et al.	707	5	8/18/1997
/J.S./		6,006,225	12/21/1999	Bowman et al.	707	5	9/1/1998
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<b>FOREIGN PATENT DOCUMENTS</b>							
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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
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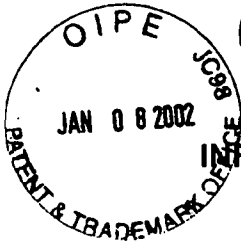


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